

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.T./

PTO/SB/08 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: September 23, 2009 (use as many sheets as necessary)		Application Number	09/337,675
		Filing Date	June 22, 1999
		First Named Inventor	Rajeev A. Jain et al.
		Art Unit	1615
		Examiner Name	Susan T. Tran
Sheet	1	of	2
		Attorney Docket Number	029318-0497

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A1	5,302,401	04/1994	Liversidge et al.	
	A2	2003/0077329	04/2003	Kipp et al.	
	A3	2005/0004049	01/2005	Liversidge et al.	
	A4	6,193,960 B1	02/27/2001	Metzger et al.	
	A5	5,118,528	06/02/1992	Fessi et al.	
	A6	5,595,762	01/21/1997	Derrieu et al.	
	A7	6,592,903 B2	07/15/2003	Ryde et al.	
	A8	5,336,507	08/09/1994	Na et al.	
	A9	5,447,710	09/05/1995	Na et al.	
	A10	5,145,684	09/1992	Liversidge et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ^d
	B1	JP 4-502318	04/23/1992	McCarthy	Eng. Abstract	
	B2	JP 07-112936	05/02/1995	Perovich et al.	Eng. Translation and Abstract	
	B3	WO 93/13773	07/22/1993	Trigger		
	B4	WO 01/78505 A1	10/25/2001	Brockbank et al.		
	B5	WO 00/18374	04/06/2000	Swanson et al.		

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.				T ^d
	C1	Notice of Rejections completed Aug. 24, 2009 for related Japanese Patent Application No. 2002-590934, and Notice of Rejections completed April 24, 2008 listing documents A1-A10 and prior art references 1-5.				
	C2	Notice of Rejections completed August 26, 2009 for related Japanese Patent Application No. 2001-583733.				

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.T./

Examiner Signature	/Susan Tran/	Date Considered	08/29/2010
---------------------------	--------------	------------------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	09/337,675
		Filing Date	June 22, 1999
		First Named Inventor	Rajeev A. Jain et al.
		Art Unit	1615
		Examiner Name	Susan T. Tran
Date Submitted: September 23, 2009		Attorney Docket Number	029318-0497
(use as many sheets as necessary)			
Sheet	2	of	2

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	†#	
	C3	MATSUMOTO ET AL., "Physical Properties of Solid Molecular dispersions of Indomethacin with Poly(vinylpyrrolidone) and Poly(vinylpyrrolidone-co-vinyl-acetate) in Relation to Indomethacin Crystallization," <i>Pharmaceutical Research</i> (1999), Vol. 16, No. 11, pp. 1722-1728, XP000987250.		
	C4	HULSMANN ET AL., "Melt extrusion – an alternative method for enhancing the dissolution rate of 17β-estradiol hemihydrate," <i>European Journal of Pharmaceutics and Biopharmaceutics</i> (2000), Vol. 49, No. 3, pp. 237-242, XP004257163.		
	C5	VOJNOVIC ET AL., "Formulation and evaluation of vinylpyrrolidone/vinylacetate copolymer microspheres and griseofulvin," <i>J. Microencapsulation</i> (1993), Vol. 10, No. 1, pp. 89-99, XP000334996.		
	C6	BOGDANOVA ET AL., "Solid Dispersions of Isopropylantipyrin," <i>Labo-Pharma-Probl. Tech.</i> (1984), Vol. 32, No. 348, pp. 835-837, XP001097528.		
	C7	ZINGONE ET AL., "Characterization and dissolution study of solid dispersions of theophylline and indomethacin with PVP/VA copolymers," <i>STP Pharma Sciences</i> (1992), Vol. 2, No. 2, pp. 186-192, XP002111752.		
	C8	Office Action cited in related U.S. Patent Application No. 10/619,539 dated September 8, 2009.		
	C9	Office Action cited in related U.S. Patent Application No. 11/979,231 dated September 16, 2009.		
	C10	Office Action cited in related U.S. Patent Application No. 10/697,716 dated September 15, 2009.		

Examiner Signature	/Susan Tran/	Date Considered	08/29/2010
---------------------------	--------------	------------------------	------------

*EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.